

**STATEMENT FOR SELF-CERTIFIED HIGH NET WORTH INDIVIDUAL AND
SOPHISTICATED INVESTOR**

I declare that I am a one of the below investors for the purposes of the Financial Services and Markets Act 2000 (Financial Promotion) Order 2001.

I understand that this means:

- a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Services Authority.
- b) the content of such financial promotions may not conform to rules issued by the Financial Services Authority.
- c) by signing this statement, I may lose significant rights;
- d) I may have no right to complain to either of the following: -
 - (i) the Financial Services Authority; or
 - (ii) the Financial Ombudsman Scheme
- e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

The content of this promotion has not been approved by an authorised person within the meaning of the Financial Services and Markets Act 2000. Reliance on this promotion for the purpose of engaging in any investment activity may expose an individual to a significant risk of losing all of the property or other assets invested

A certified high net worth individual because at least one of the following applies: -

- a) I had, during the financial year immediately preceding, the date below, an annual income to the value of £100,000 or more.
- b) I held, throughout the financial year immediately preceding the date below, net assets to the value of £250,000 or more. Net assets for these purposes do not include: -
 - (i) the property which is my primary residence or any loan secured on that residence.
 - (ii) any rights of mine under a qualifying contract of insurance within the meaning of the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001; or
 - (iii) any benefits (in the form of pensions or otherwise) which are payable on the termination of my service or on my death or retirement and to which I am (or my dependents are), or may be, entitled.

A self-certified sophisticated investor because at least one of the following applies:

- a) I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below:
- b) I have made more than one investment in an unlisted company in the two years prior to the date below;
- c) I am working or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises.
- d) I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

Print Name

Date